Fatality occurred

Board of Inquiry (automatically convened)

Notice of Allegation sent to the Compliance Officer and the Secretary General

Compliance Officer / AAP

Shall (administrative duties):
(1) acknowledge receipt of the Allegation in writing within 2 days of receipt of the Notice of Allegation;
(2) set out the details of the allegation in a letter to the Respondent within 21 days of receipt of the Notice of Allegation and if appropriate, invite the Respondent to comment within 14 days; and
(3) undertake any investigation he or she sees fit in order to ascertain the best course of action to resolve the Allegation or commence disciplinary action.

Deal with the Allegation via action(s) below:
(i) No further action;
(ii) Reject the Allegation - not within BPA’s authority;
(iii) Dismiss the Allegation - not sufficient evidence;
(iv) Refer the Allegation to another authority - i.e. the police for criminal matters;
(v) Dismiss the Allegation - vexatious or malicious;
(vi) With Respondent’s and complainant’s agreement, deal with the Allegation through mediation;
(vii) Deal with the Allegation informally by way of advice or information - it is not serious enough to warrant full disciplinary proceedings;
(viii) Refer the Allegation to a Panel of Inquiry (the “Panel”) to investigate further and make recommendations in accordance with the rules under Regulation 5;
(ix) Refer the Allegation to a Disciplinary Tribunal (the “Tribunal”) to hear the matter in accordance with the rules under Regulation 6.

Panel of Inquiry

May comment on potential failings or breaches of the BPA’s rules and/or policies but it does not make recommendations on disciplinary actions or sanctions.

Investigates and produces a report.

Respondent accepts

Disciplinary action / recommendations implemented by the Panel

Disciplinary Tribunal

Respondent does not accept the disciplinary recommendations within 10 days, thereby elects Tribunal

Board of Inquiry (automatically convened) automatic, unless the Board recommends otherwise

Deal with the Allegation via one of the actions below:
1) No further action - no case to answer; or
2) Make recommendations; or
3) Refer to the Tribunal

May comment on potential failings or breaches of the BPA’s rules and/or policies but it does not make recommendations on disciplinary actions or sanctions.

Investigates and produces a report.